

An aerial, black and white photograph of a dense forest. A narrow, winding path or stream cuts through the trees, which appear as a textured canopy of dark and light patches. The overall mood is serene and natural.

Thematic Investments Conviction List

March 18th, 2021

Overview Core Thematic Investments

Theme Overview

CORE STRATEGIES

Vontobel Research Strategies

Vontobel Swiss Research Basket
Vontobel Swiss ESG Research Index
Vontobel Equity Research Top Swiss Selection of the Year Basket

Sustainable Investing Strategies

Aqua Index
Impact for Good Index
Electric Vehicle Basket
Clean Tech Fund
Solactive Hydrogen Performance Index

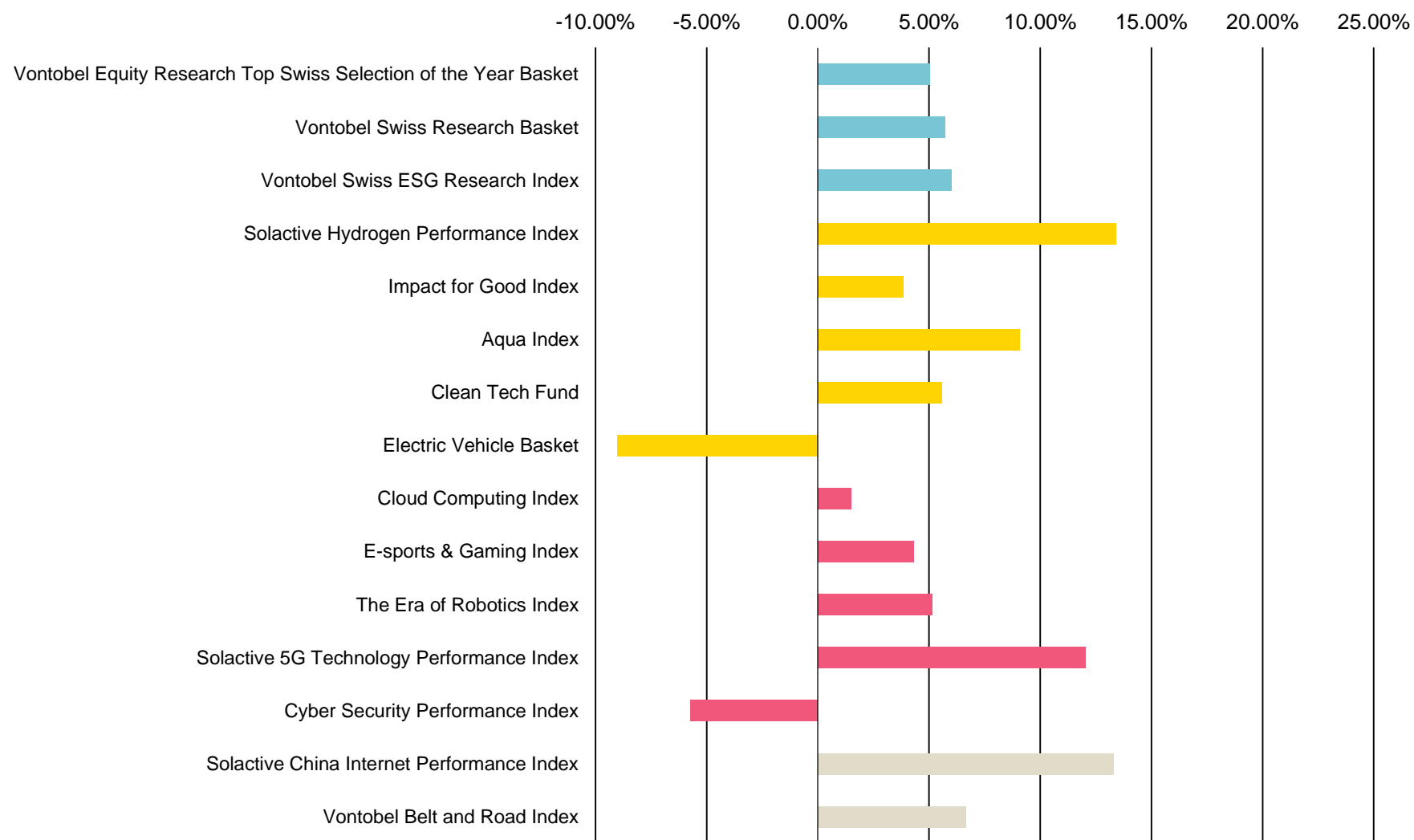
Technology Strategies

Cloud Computing Index
E-sports & Gaming Index
Cyber Security Performance Index
Solactive 5G Technology Performance Index
The Era of Robotics Index

Emerging Markets Strategies

Vontobel Belt and Road Index
Solactive China Internet Performance Index

Overview Top Conviction Thematic Investments Performance YTD




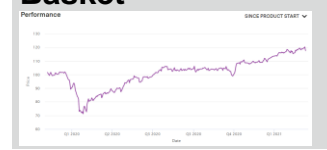


TRR = Total Rate of Return

Past performance is not a reliable indicator of current or future performance

Data as of March 18, 2021.

Top Convictions Vontobel Research Strategies


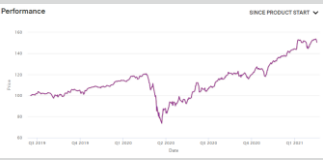

	Inception date	TRR (inception & ytd)	ISIN	Investment case
Vontobel Swiss Research Basket 	01.02.2016	+ 89.10% - + 5.70%	(CHF) CH0301889322 (EUR) DE000VL4JU82 Link	Swiss companies from the investment universe with a 'buy' rating from Vontobel Research will be included in the basket. If the rating changes to 'hold' or 'reduce', the security will be withdrawn from the basket. The investment universe, from which the stocks for the "Vontobel Swiss Research" basket were selected, comprises exclusively the Vontobel Research Universe (approximately 130 securities) and stocks from the Swiss Performance Index (SPI).
Vontobel Swiss ESG Research Index 	17.12.2020	+ 6.00% - + 3.62%	(CHF) CH0553376176 (EUR) DE000VQ2ESG1 Link	The Index tracks the performance of Swiss equities from the Vontobel Research Universe with a "Hold" or "Buy" rating and at the same time the highest ESG scoring of the Vontobel analysts. The Index will consist of the 25 companies with the highest ESC score.
Vontobel Equity Research Top Swiss Selection of the Year Basket 	20.01.2020	+ 17.32% - + 5.02%	(CHF) CH0516336218 Link	In December each year, the Research Swiss Equities of Bank Vontobel select one or two attractive equities from the relevant sector. The securities have at least a "Hold" rating at that time. Bank Vontobel's Head Research Swiss Equities selects ten equities from this preselection for the Basket. If the rating of a security falls to "sell", the security is removed from the Basket and the resulting cash position is distributed among the existing Basket members..

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Top Convictions Sustainable Investing Strategies




	Inception date	TRR (inception & ytd)	ISIN	Investment case
Aqua Index 	17.06.2019	+39.49% - + 9.06%	(CHF) CH0480392239 (USD) CH0480387981 (EUR) DE000VF55L25 Link	The Strategy of the Index Sponsor is to select shares of companies that have exposure to water-related businesses and that the Index Sponsor considers to be beneficiaries of water-related trends. The Index Sponsor selects companies that are engaged in three water themes: water extraction & storage, water infrastructure and water efficiency considering environmental, social and governance (ESG) criteria.
Impact for Good Index 	05.12.2018	+ 66.58% - + 3.84%	(CHF) CH0441928576 (USD) CH0441928451 (EUR) DE000VA9QNW6 Link	The Strategy of the Index Sponsor is to select companies in four defined impact themes: Health, Education, Poverty and Environment from which the Index Sponsor expects to deliver high performance, and that meet a set of quantitative and qualitative factors based on a combination of fundamental and Environmental, Social and Governance (ESG) analysis.
Electric Vehicle Basket	19.02.2021	-9.00% - -9.00%	(CHF) CH0553380368 (USD) CH0553380350 (EUR) DE000VQ32VY6 Link	The electric car boom could also offer interesting investment opportunities. This is why Vontobel has issued a tracker certificate on the Vontobel Electric Vehicles Equity Index. The index selects companies that are thematically related to the topic of electromobility, offering promising solutions. The selection not only includes actual producers of electric vehicles and batteries, but also companies that manufacture components or are active in providing the infrastructure needed for electric mobility.

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Top Convictions Sustainable Investing Strategies

	Inception date	TRR (inception & ytd)	ISIN	Investment case
Clean Tech Fund 	31.01.2011	+75.4% - +5.19%	(CHF) LU1407930780 (USD) LU1956006941 (EUR) LU0384405519 Link	Our target companies, innovation leaders in the area of clean technology, should benefit from the global trend towards more sustainable urbanization and industrialization. They also help to minimize the impact of human activity on the planet. We believe increasing demand for their solutions will lead to market-share gains, pricing power, and rising stock prices. Moreover, such companies are less exposed to tighter regulation than their competitors.
Solactive Hydrogen Performance Index 	08.05.2020	+134.51% - +13.38%	(CHF) CH0450809188 (USD) CH0450809196 (EUR) DE000VP2HYD0 Link	Hydrogen - an energy carrier with a future: Climate change calls for an energy turnaround - away from fossil fuels and towards green forms of energy. Hydrogen is likely to play an important role here. The Solactive Hydrogen Top Selection Index comprises 15 companies from industrialized countries that are active in the hydrogen sector.

TRR = Total Rate of Return

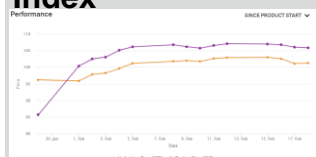
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Data as of March 18, 2021.

Top Convictions Technology Strategies



Cloud Computing Index



Inception date

29.01.2021

TRR
(inception & ytd)

+1.5%
-
+1.5%

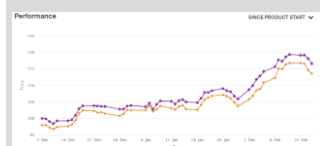
ISIN

(CHF)
CH0581518138
(USD)
CH0573916050
(EUR)
DE000VP9LZW4
[Link](#)

Investment case

The Strategy of the Index Sponsor is to select securities of companies that operate in or are linked to Cloud Computing. The term Cloud Computing covers areas such as (but not limited to) software & applications, data center, server & virtualization, security & performance, and data & storage. The aim of the Strategy of the Index Sponsor is to achieve long-term capital growth.

E-sports & Gaming Index



07.12.2020

+8.4%
-
+4.29%

(CHF)
CH0573944482
(USD)
CH0573915953
(EUR)
DE000VP9VJV9
[Link](#)

The Strategy of the Index Sponsor is to select securities of companies that operate in or are linked to E-Sports and Gaming. The term E-Sports and Gaming covers areas such as (but not limited to) cloud gaming, chipmakers, game developers and equipment, game technology as well as video streaming.

Cyber Security Performance Index



13.11.2015

+116.55%
-
-5.74%

(CHF)
CH0292584718
(USD)
CH0292584890
(EUR)
DE000VS5ZCS6
[Link](#)

On the back of the surge in mobile communications, the Internet of Things and cloud computing, the importance of digital security is coming to the fore. Meanwhile, the marked increase in data transfer means IT systems are ever more vulnerable. Against this backdrop, demand for a wide range of technological solutions in the cybersecurity area is set to increase further. This segment has developed into a technological trend in its own right, boasting strong growth potential.

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Top Convictions Technology Strategies



Solactive 5G Technology Index



Inception date

03.12.2018

TRR
(inception
& ytd)

+45.20%
-
+12.02%

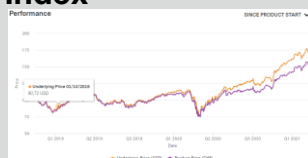
ISIN

(CHF)
CH0441918965
(USD)
CH0441918924
(EUR)
DE000VA9H372
[Link](#)

Investment case

With the age of digitization, the demand for data is increasing exponentially. It is not only the rapid increase in mobile data that is driving this growth. The growing interconnectivity of all technical devices and the associated "Internet of Things" play an equally central role. In the future, not only autonomous vehicles will communicate wirelessly with each other, but also everyday objects such as refrigerators.

The Era of Robotics Index



02.11.2018

+50.47%
-
+5.15%

(CHF)
CH0441912042
(USD)
CH0441911739
(EUR)
DE000VA8XBE7
[Link](#)

The Strategy of the Index Sponsor is to select companies in the robotics field that are expected to deliver high performance and meet a set of quantitative factors. The Index Sponsor uses a screening tool based on revenue exposure by sector to ensure that each company in the index has enough exposure to the Robotics Industry.

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Top Convictions Emerging Markets Strategies



Vontobel Belt and Road Index



Inception date

06.2019

TRR
(inception
& ytd)

+10.69%
-
+6.64%

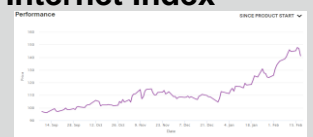
ISIN

(CHF)
CH0475074818
(USD)
CH0475074180
(EUR)
DE000VF5Y6S5
[Link](#)

Investment case

The index reflects the price performance of companies that stand to profit to a considerable degree from the realization of the Belt and Road Initiative. Its constituents are selected by CCBIAM using a partly systematic and partly discretionary process based on a three-dimensional top-down approach (country/sector/stock). Taking account of both qualitative variables and quantitative variables, CCBIAM picked eight BRI countries to constitute the equity universe: China, India, Korea, South Africa, Indonesia, Singapore, Malaysia and Thailand.

Solactive China Internet Index



31.08.2019

+31.27%
-
+13.29%

(CHF)
CH0450817272
(USD)
CH0450817264
(EUR)
DE000VP6CJ59
[Link](#)

Like their US competitors, China's technology stocks came through the corona crisis very well. The worldwide lock-downs gave the digitization process a powerful boost: Shopping and leisure activities shifted further onto the Internet. Now the sector is getting additional support from another direction. To overcome the corona crisis, China is now planning a special funding program for the technology sector. The Solactive China Internet Performance-index includes the ten biggest Chinese companies which are primarily active in the Internet sector.

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**Contact us if you have
any questions and/or
suggestions.**

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